## Authentication protocols

## Identity attributes

$\triangleright$ Set of attributes for setting apart individuals

- Name
- Numerical identifiers
- Fixed for life
- Variable with context
- Address
- Photo
- Identity of relatives
- Usually parents


## Authentication: Definition

$\triangleright$ Proof that an entity has a claimed identity attribute
—Hi, I'm Joe
-Prove it!
-Here are my Joe's credentials
-Credentials accepted/not accepted
-Hi, I'm over 18
-Prove it!
-Here is the proof
-Proof accepted/not accepted

## Authentication: proof types

$\triangleright$ Something we know

- A secret memorized (or written down...) by Joe
$\triangleright$ Something we have
- An object/token solely held by Joe
$\triangleright$ Something we are - Joe's Biometry
$\triangleright$ Multi-factor authentication
- Join or consecutive use of different proof types


## Multi-factor verification jokes



## Authentication: goals

$\triangleright$ Authenticate interactors

- People, services, servers, hosts, networks, etc.
$\triangleright$ Enable the enforcement of authorization policies and mechanisms
- Authorization $\Rightarrow$ authentication
$\triangleright$ Facilitate the exploitation of other security-related protocols
- e.g. key distribution for secure communication


## Authentication: requirements

$\triangleright$ Trustworthiness

- How good is it in proving the identity of an entity?
- How difficult is it to be deceived?
- Level of Assurance (LoA) (NIST, eIDAS, ISO 29115)

LoA 1 - Little or no confidence in the asserted identity
LoA 2 - Some confidence in the asserted identity
LoA 3 - High confidence in the asserted identity
LoA 4 - Very high confidence in the asserted identity
$\triangleright$ Secrecy

- No disclosure of secrets used by legitimate entities


## Authentication: requirements

$\triangleright$ Robustness

- Prevent attacks to the protocol data exchanges
- Prevent on-line DoS attack scenarios
- Prevent off-line dictionary attacks
$\triangleright$ Simplicity
- It should be as simple as possible to prevent entities from choosing dangerous shortcuts
$\triangleright$ Deal with vulnerabilities introduced by people
- They have a natural tendency to facilitate or to take shortcuts


## Authentication: Entities and deployment model

$\triangleright$ Entities

- People
- Hosts
- Networks
- Services / servers
$\triangleright$ Deployment model
- Along the time
- Only when interaction starts
- Continuously along the interaction
- Directionality
- Unidirectional
- Bidirectional (or mutual)


## Authentication interactions: Basic approaches

$\triangleright$ Direct approach

- Provide credentials
- Wait for verdict
- Authenticator checks credentials against what it knows
$\triangleright$ Challenge-response approach
- Get challenge
- Provide a response computed from the challenge and the credentials
- Wait for verdict
- Authenticator checks response for the challenge provided and the credentials it knows


## Authentication of people: Direct approach w/ known password

$\triangleright$ A password is matched with a stored value

- For a claimed identity (username)
- Personal stored value:
- Transformed by a unidirectional function
- Key Derivation Function (KDF)
- Preferably slow!
- Bcrypt, scrypt, Argon2, PBKDF2
- UNIX: DES hash + salt
- Linux: KDF + salt
- Windows: digest function



## Authentication of people:

## Direct approach w/ known password

$\triangleright$ Advantage

- Simplicity!
- Sharing!
$\triangleright$ Problems
- Usage of predictable passwords
- They enable dictionary attacks
- Different passwords for different systems
- To prevent impersonation by malicious admins
- But our memory has limits!

$\begin{gathered}\text { Top } 102023 \text { at } \\ \text { Portugal } \\ \text { by NordPass }\end{gathered}$
$1-123456$
$2-12345$
$3-123456789$
$4-12345678$
$5-$ benfica
$6-$ portugal
$7-$ sporting
$8-123567890$
$9-$ password
$10-1234567$
- Exchange along insecure communication channels
- Eavesdroppers can easily learn the password
- e.g. Unix remote services, PAP


## Password selection jokes



Dear IT,
the more "secure" you try to make our passwords by making them impossible to remember, the more likely I am to save them all in a big word doc named "Passwords"

Signed,



Sorry, but your password must contain an uppercase letter, a number, a haiku, a gang sign, a hieroglyph, and the blood of a virgin.

## Password bloopers



## Authentication of people: Direct approach with biometrics

$\triangleright$ People get authenticated using body measurements

- Biometric samples or features
- Common modalities
- Fingerprint
- Facial recognition
- Palm print
- Iris scan
- Voice recognition
- DNA
$\triangleright$ Measures are compared with personal records
- Biometric references (or template)
- Registered in the system with a previous enrolment procedure


Dot Projector
More than 30,000 invisible dots build your unique facial map.
Infrared Camera
An infrared camera reads the dot pattern, captures an infrared image, then sends the
data to the secure enclave in the A11 Bionic data to the secure enclave in the A11 Bionic
chip to confirm a match.

Flood Illuminator
Invisible infrared light helps identify
your face even when it's dark.
(11)

Face ID is now
set up.


רd Authorization

## Fingerprint sensor

An optical sensor.



- Ultrasonic transducers

Figure 2
$\triangleright$ Easy to bypass: youtube/watch?v=hJ35ApLKpN4

## Biometrics: advantages

$\triangleright$ Convenient: people do not need to use memory

- Just be their self
$\triangleright$ People cannot choose weak passwords
- In fact, they don't choose anything
$\triangleright$ Credentials cannot be transferred to others
- One cannot delegate their own authentication
$\triangleright$ Stealth identification
- Interesting for security surveillance


## Biometrics: problems

$\triangleright$ Usability

- Comfort of people, ergonomic
- Exploitation scenario
$\triangleright$ Biometrics are still being improved
- In many cases they can be easily cheated

- Liveness detection
$\triangleright$ People cannot change their credentials
- Upon their robbery
$\triangleright$ It can be risky for people
- Removal of body parts for impersonation of the victim


## Biometrics: problems

$\triangleright$ Sensitivity tuning

- Reduction of FRR (annoying)
- Reduction of FAR (dangerous)
- Tuning is mainly performed with the target population
. Not with attackers!
$\triangleright$ Not easy to deploy remotely
- Requires trusting the remote sample acquisition system
$\triangleright$ Can reveal personal sensitive information

- Diseases
$\triangleright$ Credentials cannot be (easily) copied to others
- In case of need in exceptional circumstances


## Authentication of people: Direct approach with OTPs

$\triangleright$ One-time password (OTP)

- Credential that can be used only once
$\triangleright$ Advantage
- OTPs can be eavesdropped
- Eavesdroppers cannot impersonate the OTP owner
- True for passive eavesdroppers
- False for active attackers!


## Authentication of people: Direct approach with OTPs

$\triangleright$ Problems

- Interactors need to know which password they should use at different occasions
- Requires some form of synchronization
- People may need to use extra resources to maintain or generate one-time passwords
- Paper sheets
- Computer programs
- Special devices, etc.


## Authentication of people: OTPs and secondary channels

$\triangleright$ OTPs are codes sent through secondary channels

- A secondary channel is a channel that is not the one were the code is going to be used - SMS, email, Twitter, Firebase, QR codes, NFC, etc.
- The secondary channel provides the synchronization
- Just-in-time provision of OTP
$\triangleright$ Two authentications are possible
- Confirm a secondary channel provided by a profile owner
- In order to trust that that channel belongs to the profile owner
- Authenticate the owner of a profile
- Which is bound to a secondary channel


## Authentication of people: OTPs produced from a shared key

- HOTP (Hash-based One Time Password, RFC 4226)
- OTP generated from a counter and a shared key
- Counters are updated independently
$\triangleright$ TOTP (Time-based One Time Password, RFC 6238)
- OTP generated from a timestamp and a shared password
- TOTP is HOTP with timestamps instead of counters
- Clocks need a rough synchronization


## HOTP (HMAC-based one-time password, RFC 4226)

$\triangleright$ Numeric OTP computed from shared key K and synchronized counter C

- Hash key and counter
- And increase counter
- From hash, get a (floating) portion of 31 contiguous bits
- Dynamic Binary Code (DBC)
- Compute a $d$-long decimal number
- $d \geq 6$
$\triangleright$ Issues
- Counter synchronization upon a failure
- If the authenticator keeps it after a failure, exhaustive search attacks are viable
- If the authenticator always increments it, DoS attacks are possible
- Acceptance windows
- Mitigates minor desynchronizations, but decreases security


## TOPT (Time-based one-time password, RFC 6238)

$\triangleright$ HOTP with a counter derived from time
$\triangleright C_{T}=\left\lfloor\frac{T-T_{0}}{T_{x}}\right\rfloor$

- $T$ - initial time
- $T_{0}$ - initial time
- $T_{x}$ - time interval (default: 30 seconds)
$\triangleright \operatorname{TOTP}(K)=\operatorname{HOTP}\left(K, C_{T}\right)$


## Token-based OTP generators: RSA SecurID

$\triangleright$ Personal authentication token

- Or software modules for handhelds (PDAs, smartphones, etc.)
$\triangleright$ It generates a unique number at a fixed rate
- Usually one per minute (or 30 seconds)
- Bound to a person (User ID)
- Unique number computed with:
- A 64-bit key stored in the token
- The actual timestamp
- A proprietary digest algorithm (SecurID hash)
- An extra PIN (only for some tokens)


## RSA SecurlD

$\triangleright$ OTP-based authentication

- A user combines their User ID with the current token number OTP = User ID, Token Number
$\triangleright$ An RSA ACE Server does the same and checks for match
- It also knows the person's key stored in the token
- There must be a synchronization to tackle clock drifts
- RSA Security Time Synchronization
$\triangleright$ Robust against dictionary attacks
- Keys are not selected by people


## Yubikey

$\triangleright$ Personal Authentication Device

- USB and/or NFC
$\triangleright$ Activation generates a 44 characters key
- Emulates a USB keyboard (besides an own API)
- Supports HOTP (events) or TOPT (Temporal)
- If a challenges is provided, user most touch the button to obtain a result
- Several algos, including AES 256
cccjgjgkhcbbirdrfdnInghhfgrtnnIgedjlftrbdeut



## Challenge-response approach: Generic description

$\triangleright$ The authenticator provides a challenge
$\triangleright$ The entity being authenticated transforms the challenge

- With its authentication credentials
$\triangleright$ The result (response) is sent to the authenticator
$\triangleright$ The authenticator checks the response
- Produces a similar result and checks if they match
- Transforms the result and checks if it matches the challenge or a related value



## Challenge-response approach: Generic description

$\triangleright$ Advantage

- Authentication credentials are not exposed
$\triangleright$ Problems
- People may require means to compute responses
- Hardware or software
- The authenticator may have to have access to shared secrets
- How can we prevent them from using the secrets elsewhere?
- Offline dictionary attacks
- Against recorded challenge-response dialogs
- Can reveal secret credentials (passwords, keys)


## Challenge-response protocols: selection of challenges

$\triangleright$ Challenges cannot be repeated for the same entity

- Same challenge $\rightarrow$ same response
- An active attacker can impersonate a user using a previously recorded protocol run
$\triangleright$ Challenges should be nonces
- Nonce: number used only once
- Stateful services can use counters
- Stateless services can use (large) random numbers
- Time can be used, but with caution
- Because one cannot repeat a timestamp


## Authentication of people: <br> Challenge-response with smartcards

$\triangleright$ Authentication credentials

- The smartcard
- e.g. Citizen Card
- The private key stored in the smartcard
- The PIN to unlock the private key

$\triangleright$ The authenticator knows
- The corresponding public key
- Or some personal identifier
- Which can be related with a public key through a (verifiable) certificate


## Authentication of people: <br> Challenge-response with smartcards



Card Owner (has card, knows PIN) Kpriv
$\triangleright$ Signature-based protocol

- The authenticator generates a random challenge
- Or a value not used before
- The card owner ciphers the challenge with their private key
- PIN-protected
- The authenticator decrypts the result with the public key
- If the output matches the challenge, the authentication succeeds
$\triangleright$ Encryption-based protocol
- Possible when private key decryption is available


## Authentication of people: <br> Challenge-response with memorized password

$\triangleright$ Authentication credentials

- Passwords selected by people
$\triangleright$ The authenticator knows
- All the registered passwords; or
- A transformation of each password
- Preferable option
- Preferably combined with some local value (salt)
- Preferable using a tunable function (e.g. iterations)


## Authentication of people: <br> Challenge-response with memorized password

$\triangleright$ The authenticator generates a random challenge
$\triangleright$ The person computes a function of the challenge and password

- e.g. a joint digest: response = digest (challenge, password)
- e.g. an encryption response $=E_{\text {password }}$ (challenge)
$\triangleright$ The authenticator does the same (or the inverse)
- If the output matches the response (or the challenge), the authentication succeeds
$\triangleright$ Examples
- CHAP, MS-CHAP v1/v2, S/Key


## PAP \& CHAP

## (RFC 1334, 1992, RFC 1994, 1996)

$\triangleright$ Protocols used in PPP (Point-to-Point Protocol)

- Unidirectional authentication
- Authenticator is not authenticated
$\triangleright$ PPP developed in 1992
- Mostly used for dial-up connections
$\triangleright$ PPP protocols are used by PPTP VPNs
- e.g. vpn.ua.pt


## PAP \& CHAP

(RFC 1334, 1992, RFC 1994, 1996)
$\triangleright$ PAP (PPP Authentication Protocol)

- Simple UID/password presentation
- Insecure cleartext password transmission
$\triangleright$ CHAP (CHallenge-response Authentication Protocol)
Aut $\rightarrow$ U: authID, challenge
$\mathrm{U} \rightarrow$ Aut: authID, MD5( authID, pwd, challenge ), identity
Aut $\rightarrow$ U: authID, OK/not OK
- The authenticator may require a reauthentication anytime


## MS-CHAP (Microsoft CHAP) (RFC 2433, 1998, RFC 2759, 2000)

$\triangleright$ Version 1
A $\rightarrow$ U: authID, C
$U \rightarrow$ A: R1, R2
$\mathrm{A} \rightarrow \mathrm{U}:$ OK/not OK
$\mathrm{R} 1=\operatorname{DES}_{\mathrm{LMPH}}(\mathrm{C})$
R2 $=\operatorname{DES}_{\text {NTPH }}(C)$
LMPH = DEShash( pwd' )
NTPH = MD4 ( pwd )
pwd' $=$ capitalized (pwd )
$\triangleright$ Version 2

```
\[
\mathrm{A} \rightarrow \mathrm{U}: \text { authID, } \mathrm{C}_{\mathrm{A}} \quad \leftarrow \mathrm{~m} 1
\]
\[
U \rightarrow A: C_{U}, R 1
\]
\[
\mathrm{A} \rightarrow \mathrm{U}: \mathrm{OK} / \text { not OK, R2 }
\]
\[
R 1=D E S_{P H}(C)
\]
\[
C=S H A\left(C_{U^{\prime}} C_{A^{\prime}}\right. \text { username ) }
\]
PH = MD4( password )
R2 = SHA (SHA (MD4( PH ), R1, m1 ), C, m2 )
```

- Mutual authentication
- Passwords can be updated


## MS-CHAP v2



## Authentication of people: Generation of OTPs with challenges

$\triangleright$ OTPs can be produced from a challenge received

- The fundamental protocol is password-based
- But passwords are OTPs
- OTPs are produced from a challenge
- One can use several algorithms to handle OTPs


## Authentication of people: OTPs selected from shared data

$\triangleright$ Advantage:

- Shared data can be random
- No long-term short secrets to protect
$\triangleright$ OTPs build from printed data
- Example: online bank codes

$\triangleright$ Selection of an OTP from a printed / saved list


## S/Key (RFC 2289, 1998)

$\triangleright$ Authentication credentials

- A password (pwd)
$\triangleright$ The authenticator knows
- The last used one-time password (OTP)
- The last used OTP index
- Defines an order among consecutive OTPs
- A seed value for each person's OTPs
- The seed is similar to a UNIX salt


## S/Key setup

$\triangleright$ The authenticator defines a random seed
$\triangleright$ The person generates an initial OTP as:

$$
\text { OTP }_{\mathrm{n}}=\mathrm{h}^{\mathrm{n}}(\text { seed, pwd }) \text {, where } \mathrm{h}=\text { MD4 }
$$

- Some S/Key versions also use MD5 or SHA-1
$\triangleright$ The authenticator stores seed, n and $\mathrm{OTP}_{\mathrm{n}}$ as authentication credentials



## S/Key authentication protocol

$\triangleright$ Authenticator sends seed \& index of the person

- They act as a challenge
$\triangleright$ The person generates index-1 OTPs in a row
- And selects the last one as result
- result $=$ OPT $_{\text {index- }}$
$\triangleright$ The authenticator computes h (result) and compares the result with the stored OPT index
- If they match, the authentication succeeds
- Upon success, stores the recently used index \& OTP
- index-1 and OPT index-1 $^{\text {in }}$


## S/Key

## $\triangleright$ Advantages

- Users passwords are unknown to authenticators
- OTPs can be used as ordinary passwords
$\triangleright$ Disadvantages
- People need an application to compute OTPs
- Passwords can be derived using dictionary attacks
- From data stored in authenticators
- From captured protocol runs


## Authentication of people: Challenge-response with shared key

$\triangleright$ Uses a shared key instead of a password

- Robust against dictionary attacks
- Requires some token to store the key
$\triangleright$ Example:
- GSM


## GSM: authentication architecture


$\triangleright$ Based on a secret key shared between the HLR and the station

- 128 Ki, stored in the station's SIM card
- Can only be used after entering a PIN
$\triangleright$ Algorithms (initially not public):
- A3 for authentication
- A8 for generating a session key
- A5 for encrypting the communication
$\triangleright$ A3 and A8 implemented by SIM card
- Can be freely selected by the operator


## GSM: mobile station authentication



## GSM: mobile station authentication

$\triangleright$ MSC fetches trio from HLR

- RAND, SRES, Kc
- In fact more than one are requested
$\triangleright$ HLR generates RAND and corresponding trio using subscriber's Ki
- RAND, random value (128 bits)
- SRES = A3 (Ki, RAND) (32 bits)
- Kc = A8 (Ki, RAND) (64 bits)
$\triangleright$ Usually operators use COMP128 for A3/A8
- Recommended by the GSM Consortium
- [SRES, Kc] = COMP128 (Ki, RAND)


## Host authentication

$\triangleright$ By name or address

- DNS name, IP address, MAC address, other
- Extremely weak, no cryptographic proofs
- Nevertheless, used by many services
- e.g. NFS, TCP wrappers
$\triangleright$ With cryptographic keys
- Keys shared among peers
- With an history of usual interaction
- Per-host asymmetric key pair
- Pre-shared public keys with usual peers
- Certified public keys with any peer


## Service / server authentication

$\triangleright$ Host authentication

- All co-located services/servers are indirectly authenticated
$\triangleright$ Per-service/server credentials
- Shared keys
- When related with the authentication of people
- The key shared with each person can be used to authenticate the service to that person
- Per-service/server asymmetric key pair
- Certified or not


## TLS (Transport Layer Security, RFC 8446)

$\triangleright$ Secure communication protocol over TCP/IP

- Created upon SSL V3 (Secure Sockets Layer)
- Manages per-application secure sessions over TCP/IP
- Initially conceived for HTTP traffic
- Actually used for other traffic types
$\triangleright \quad$ There is a similar version for UDP (DTLS, RFC 6347)
$\triangleright$ Security mechanisms
- Communication confidentiality and integrity
- Key distribution
- Authentication of communication endpoints
- Servers (or, more frequently, services)
- Client users

- Both with asymmetric key pairs, typically with certified public keys


## TLS interaction diagrams (1 ${ }^{\text {st }}$ part)



## TLS interaction diagrams (2 ${ }^{\text {nd }}$ part)



Change Cipher Spec
The next record from me will be encrypted
Server Finish (encrypted)

Encrypted records (control or app data)
Encrypted records (control or app data)

## TLS Ciphersuites

$\triangleright$ If a server supports a single algorithm, it not expected for all clients to also support it - More powerful/limited, older/newer
$\triangleright$ The Ciphersuite concept allows the negotiation of mechanisms between client and server

- Both send their supported ciphersuites, and select one they both share
- TLS v1.3: O servido escolhe
$\triangleright$ Example: ECDHE-RSA-AES128-GCM-SHA256
$\triangleright$ Format:
- Key negotiation algorithm: ECDHE
- Authentication algorithm: RSA
- Cifra algorithm, and cipher mode: AES-128 GCM
- Integrity control algorithm: SHA256


## SSH (Secure Shell, RFC 4251)

$\triangleright$ Alternative to telnet/rlogin protocols/applications

- Manages secure consoles over TCP/IP
- Initially conceived to replace telnet
- Actually used for other applications
- Secure execution of remote commands (rsh/rexec)
- Secure copy of contents between machines (rcp)
- Secure FTP (sftp)
- Creation of arbitrary secure tunnels (inbound/outbound/dynamic)
$\triangleright$ Security mechanisms
- Communication confidentiality and integrity
- Key distribution
- Authentication of communication endpoints
- Servers / machines
- Client users
- Both with different techniques


## SSH authentication mechanisms

$\triangleright$ Server: with asymmetric keys pair

- Inline public key distribution
- Not certified!
- Clients cache previously used public keys
- Caching should occur in a trustworthy environment
- Update of a server's key raises a problem to its usual clients
$\triangleright$ Client users: configurable
- Username + password
- By default
- Username + private key
- Upload of public key in advance to the server


## Single Sign-On (SSO)

$\triangleright$ Unique, centralized authentication for a set of federated services

- The identity of a client, upon authentication, is given to all federated services
- The identity attributes given to each service may vary
- The authenticator is called Identity Provider (IdP)
$\triangleright$ Examples
- SSO authentication @ UA
- Performed by a central IdP (idp.ua.pt)
- The identity attributes are securely conveyed to the service accessed by the user


## Authentication metaprotocols

$\triangleright$ Generic authentication protocols that encapsulate other authentication protocols
$\triangleright$ Examples

- EAP (Extensible Authentication Protocol)
- Used in 802.1X (WiFi, enterprise mode)
- e.g. PEAP (Protected EAP) and EAP-TLS run over EAP
- ISAKMP(Internet Security Association and Key Management Protocol)
- Formerly used in IPSec
- e.g. IKE v1 (Internet Key Exchange) runs over ISAKMP


## Authentication services

$\triangleright$ Trusted third parties (TTP) used for authentication

- But often combined with other related functionalities
$\triangleright$ AAA services
- Authentication, Authorization and Accounting
- e.g. RADIUS


## Key distribution services

$\triangleright$ Services that distribute a shared key for authenticated entities

- That key can then be used by those entities to protect their communication and ensure source authentication

$\triangleright$ Examples
- 802.1X (Wi-Fi, enterprise mode)
- Kerberos


